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| **TRANSPORT BY AIR OF DANGEROUS GOODS**  **Exemption**  **Approval ref.**  **Granted by the CAA in accordance with ICAO Annex 18 and Technical Instructions for the Safe Transport of dangerous goods by air (Doc 9284) Part 1,1.1.3 and CAR 92.**  **as State of**  **Origin**  **Operator**  **Transit**  **Overflight**  **Destination** |

**I. References:**

**1.CAR 92.350**

(1) Carriage by aircraft; To, From, Through or Over the Territory of the Sultanate of Oman, of;

1. Class 1
2. Class 2 Division 2.3 Toxic gases (excluding aerosols)
3. Class 3 Desensitized explosives
4. Class 4 Division 4.1 Desensitized explosives
5. Class 6 Division 6.1 substances of Packing Group I; Except when transported under the excepted quantity provisions
6. Division 6.2 Infectious substances of Category A (UN Nos. 2814 and 2900)
7. Class 7 Radioactive Materials

shall not be accepted without prior approval being granted by the competent Authorities.

(2) Approvals or exemptions for carriage of dangerous goods shall only be granted at the discretion of CAA.

(3) The carriage of dangerous goods shall be in strict compliance with to the Sultanate of Oman state variation as amended.

(4) Approval or exemptions shall be obtained from CAA before shipping such articles or substances to/from/via the Sultanate of Oman. Therefore, copies of the completed Dangerous Goods Transport Document and all supporting documents shall be submitted to CAA for review before issuing the approval under formal written request. Such approval(s) shall be reviewed and if approved shall be issued within fifteen working days from the date all required documents are submitted to the Competent Authority.

**2. The Oman state Variations as amended shall be respected.**

**3.The carriage of class 1 explosives is subject to the compliance to the safety circular number 2020-09.**

**3.The safety Circular number 2020-09 published at the CAA website shall be respected.**

**A/ Reason for requesting the exemption** (mark at least one of the following options)

(a) extreme urgency

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| □ Humanitarian relief | □ environmental  relief | □pestilence | □ national or  international  security | □ saving of life  (e.g. rescue) | □ limited availability  at destination |

**(b) other forms of transport are inappropriate:**

|  |  |  |  |
| --- | --- | --- | --- |
| □Length of journey 1 | □ Infrastructure 2 | □ Security 3 | □ Routing 4 |

**(c) full compliance with the Technical Instructions is contrary to the public interest:**

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| --- | --- | --- |
| □ medical applications | □ new technologies | □ enhancements in safety |

**(d) reason other than (a), (b), (c) above**

Explanation for (a), (b), (c) or (d) above:

**B/ Description of measures aimed at achieving an overall level of safety in transport which is at least equivalent to the level of safety provided for in the ICAO Technical Instructions**

B1/ General measures: see Appendix 1

B2/ Additional measures (if any) presented by the applicant 5:

*1 for example: transport by other forms may result in an unrealistic journey time and could affect the viability of the*

*2 dangerous goods for example: the availability of other forms of transport may be limited*

*3 for example: the comprehensive security provisions of the air mode may reduce the possibility of unlawful interference*

*(theft, etc.)*

*4 for example: transport by air may result in a reduced risk of exposure of the public to the dangerous goods in the event of an incident or accident. The risk of piracy may also be significantly reduced*

*5 for example: no dangerous goods carried on board other than those subject to the present exemption*

**C/ Flight**

|  |  |
| --- | --- |
| Flight date (dd/mm/yyyy): | Flight No: |
| Aircraft type: | Aircraft registration (+ subsequent if any): |
| Departure airport (Name and ICAO code): | Destination airport (Name and ICAO code): |
| Air Way Bill Number : |  |
| Airport(s) of transit (Name and ICAO code): | |
| Flight plan route over national territory “estimated”: | |

**D/ Air operator**

|  |  |
| --- | --- |
| Name: | AOC No: |
| Address: | Tel: E-mail |
| Name of the contact (if different from the applicant):  Tel: | |
| I confirm that the air operator :  ☐ has a valid approval to transport dangerous goods recorded within the AOC Operations  Specifications (OPS SPECS)  ☐ uses the valid edition of the following dangerous goods standards: ICAO Doc 9284 (or IATA Dangerous Goods Regulations) + ICAO Doc 9284 Supplement | |

**E/ Shipper / Consignee (in case of several shippers/consignees, identify each of them with dedicated information in duplicated tables)**

**E.1 Shipper:**

|  |  |
| --- | --- |
| Name: | |
| Address: | Tel:  E-mail |
| Name of the person signing the shipper’s declaration (DG transport document): | |
| **1.I confirm that the person signing the shipper’s declaration holds a valid ICAO category 1 dangerous goods training qualification the shipper uses the valid edition of the following DG standards: ICAO Doc 9284 (or IATA Dangerous Goods Regulations) + ICAO Doc 9284 Supplement**  **2.I confirm that the person signing the shipper’s declaration on behalf of the shipper (freight forwarder) holds a valid ICAO category 3 dangerous goods training qualification.**  **3.I confirm that the entity acting on behalf of the shipper for shipment originating from Oman is a CAA approved entity and uses the valid edition of the following DG standards: ICAO Doc 9284 (or IATA Dangerous Goods Regulations) + ICAO Doc 9284 Supplement** | |

**E.2** **Consignee**

|  |  |
| --- | --- |
| Name: | |
| Address: | Tel: E-mail |

**F/ Identification of dangerous goods requiring approval/exemption**

**Table 1**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **UN No** | **Proper shipping name** | **Commercial designation (optional)** | **Class/ Division/ Compatibility Group** | **Packing Group (if applicable)** | **Number of packages** | **Net quantity per package (Kg or L)** | **Gross quantity per package (Kg or L)** | **Net explosive quantity per package (Kg)** | **Packing Instruction** | **Packaging test certificate reference** |
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| --- | --- | --- | --- | --- |
| **UN Number** | **Proper shipping name** | **Class/ Division/ Compatibility Group** | **Packing Group (if applicable** | **Total Net Quantity**  **(kg or L)** |
|  |  |  |  |  |

**Identification of dangerous goods to be carried (if any) other than those requiring approval/exemption:**

**Table 2**

**G/ Description of the method for separating packages containing explosives with different compatibility groups (if applicable**)

**H/ Identification of the person completing this application**

|  |  |
| --- | --- |
| Name: | Personnel of the air operator:  □ □ |
| Firm and Title: | Tel: E-mail: |
| I declare That:  ☐ To the best of my knowledge the particulars entered on this application are accurate;  ☐ I hold a valid ICAO category 6 dangerous goods training qualification;  ☐ I use a valid edition of the following DG standards: ICAO Doc 9284 (or IATA Dangerous Goods  Regulations) + ICAO Doc 9284 Supplement;  ☐ The Oman state variations as amended are respected  ☐ All the documents required under Appendix II accompany this application; and  ☐ The conditions set in this exemption/approval will be fully complied with.  Date (dd/mm/yyyy): Name and Signature: | |

**I/ CAA (letter) :**

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| Name:  Title:  Date (dd/mm/yyyy):  signature |

**APPENDIX I (see paragraph B1 of the application form)**

1. This exemption/Approval is valid only to the extent applicable to the legal competence of the issuing State, i.e. the State of Origin, Operator, Transit, Overflight and/or Destination.
2. This exemption/Approval is neither a decision for diplomatic clearance, traffic rights, transport of munitions of war, nor acceptance from aerodrome operators.
3. The dangerous goods shall be carried in accordance with the Technical Instructions and all conditions set in the exemption. **Note: ‘Technical Instructions’ means the latest effective edition of the ‘Technical instructions for the safe transport of dangerous goods by air’ (ICAO Doc 9284), including the supplement and any addenda or corrigenda, approved and published by the International Civil Aviation Organisation**.
4. Transport is allowed in cargo aircraft only (CAO). No person shall be carried onboard other than a crew member, an operator’s employee in an official capacity, an authorized representative of an appropriate national authority or a person accompanying a consignment or other cargo (as defined in the Technical Instructions).
5. In the case of the transport of explosives, explosives of different compatibility groups shall be separated in accordance with Technical Instructions Supplement and the method of separation must be as approved by the national authorities concerned and the conditions states in the approval letter shall be respected. See **paragraph G** of the application form.
6. Prior to the flight, in addition to observing any aerodrome requirements stated within the Aeronautical Information Publication, the air operator must comply with aerodrome operator’s safety and security instructions regarding the storage, handling, loading or unloading of the dangerous goods, refueling and parking of the aircraft. Regardless, the following minimum conditions apply:

a) No refueling during loading/unloading;

b) No loading/unloading on the approach of, or during a thunderstorm;

c) compliance with safety distances from infrastructures with personnel and passengers and from other aircraft as defined by the aerodrome operator unless otherwise specified by the authority issuing the exemption;

d) no reduction to the rescue and firefighting capacities of the planned aerodromes (other than alternate aerodromes).

1. The operational flight plan (including any amendments to the flight plan that become necessary in the course of the flight) must avoid densely populated areas as far as practicable, whilst respecting the instructions of air traffic control.
2. In the event of an unscheduled landing, the pilot in command of the aircraft shall immediately inform the aerodrome operator of the nature and quantity of all dangerous goods carried as cargo on board the aircraft.
3. In the event of an in-flight emergency where the situation does not permit the pilot in command to inform the ATS unit of all dangerous goods carried as cargo on board the aircraft, in accordance with the Technical Instructions Part 7 Chapter 4 § 4.3: a) the pilot in command must:
4. notify air traffic control that dangerous goods are being carried under an exemption;

and

1. provide air traffic control the telephone number of a person of the ground staff of the air operator that may be called by emergency services and authorities to obtain details of the dangerous goods on board.

b) the contact telephone number must be recorded:

i- within box 18 of the aircraft flight plan,

and

ii- on the written information to the pilot in command.

1. A copy of this exemption/approval and a copy of any Packing Instruction which is published in the Technical Instructions Supplement must be attached to the dangerous goods transport document (shipper’s declaration).
2. A copy of this exemption/approval must be provided by the air operator to the aerodrome operators of origin, transit (if applicable) and destination.
3. This exemption/approval is valid for a single flight on the scheduled flight date specified in paragraph C of the present exemption. If the flight date should change:
4. within 7 days from the scheduled flight date, the air operator must inform as soon as possible and before the flight is performed all the parties concerned (authorities, aerodromes, etc.) of the new effective flight date;

or b) more than 7 days from the scheduled flight date, an amendment of this exemption or a new exemption must be requested as soon as possible and at least 15 days before the new effective flight date.

1. Additional requirements (if any) set by the issuing authority:

**APPENDIX II**

DOCUMENTS TO BE PROVIDED BY THE APPLICANT TO ALL CONCERNED STATES (ORIGIN, OPERATOR, TRANSIT, OVERFLIGHT AND/OR DESTINATION)

|  |  |
| --- | --- |
| **Nbr** | **Item** |
| 1 | the application form completed with required document |
| 2 | Risk analysis (if applicable) |
| 3 | In case the operator appoints a representative: a letter from the air operator delegating authority to this representative to make the application on their behalf and which attests that this representative is qualified to make the application |
| 4\* | a copy of the - air operator certificate (AOC), - operations specifications (OPS SPEC) attached to the AOC, demonstrating that the air operator is authorized to carry dangerous goods, at their latest revision |
| 5\* | a copy of the exemption/approval issued by the competent authority of the State of the Operator |
| 6\*\* | a copy of the exemption/approval issued by the competent authority of the State of Origin |
| 7 | a copy of the dangerous goods transport document (shipper’s declaration) which includes an emergency phone number which will be available 24 hours a day, 7 days a week, from which to obtain details of emergency response measures appropriate to the consignment. |
| 8 | For each Class 1 dangerous goods: a copy of the classification document issued by the Competent Authority of a Contracting Party to the European Agreement Concerning the International Carriage of Dangerous Goods by Road (ADR) (or relevant authority depending on national laws). |
| 9 | a copy of the safety data sheet (SDS) for substances, mixtures, aerosols or explosive articles requiring the exemption. |
| 10 | a copy of the packaging test certificates |
| 11 | a copy of the ICAO category 1 dangerous goods training record of the person signing the dangerous goods transport document (shipper’s declaration), valid at the date of the flight + one month |
| 12 | a copy of ICAO category 6 dangerous goods training record of the person that completed and signed the application form, valid at the date of the flight + one month |
| 13 | Copy of airway bill and shipper declaration |
| 14 | Any special handling required or any special emergency response information |
| 15 | Detailed explanation for the request is attached |

\*): not applicable for the State of operator

\*\*): not applicable for the State of origin